

## Taiwan Taipei District Court



#### 細說—台灣司法民主化的歷程 The Milestones of Taiwan's Judicial Democratization

#### 審檢分立

#### 解除戒嚴

#### 改良式當事人進 行主義

#### 國民法官新制

Separation of Prosecutorial and Judicial Powers

Lifting of the Martial Law

Modified Adversarial System

A Whole New System of Citizen Judges Courts

1980年7月1日將高等 法院以下各級法院由隸 屬行政院司法行政部改 隸屬司法院 In order to ensure both

prosecutorial and judicial independence, the administrative supervision (originally exercised by the Ministry of Judicial Administration under the Executive Yuan) of Taiwan High Court and all levels of courts below it was officially transferred to the Judicial Yuan starting from Jul.1,

1980.

1987年7月15日解除 戒嚴,將平民涉犯叛亂 罪嫌及送交軍法審判的 重大犯罪等由軍法審判 改歸司法審判

With the lifting of martial law on Jul.15,1987, civilians charged with treason, sedition, subversive activities or felonies were no longer subject to military tribunals; they would be tried under the jurisdiction of civilian courts instead.

2003年9月1日實施英 美法系的交互詰問制度 (除基於公平正義部分 外,法官原則上不介入)

The practice of crossexamination derived from the common law system was implemented on Sep.1, 2003. (i.e. A judge will intervene only when it's based on the needs of fairness and justice). 2023年1月1日施行卷 證不併送、人民與法官 共同合審合判

The Citizen Judges Act will come into effect on Jan.1, 2023, bringing about major changes such as —— "indictment without (previewing) the dossier to prevent bias", "citizens and professional judges adjudicating cases together".

# 國民法官 Citizen Judges Coming Soon~

2023.1.1



## 法官審判的權力來自人民的付託

The power to adjudicate cases is entrusted to the judiciary by the people.

# 人民是國家的主人·和法官共同參與審判事務·更能彰顯司法主權的意涵

The meaning of popular sovereignty is truly manifested when citizen judges exercise their right and duty to decide criminal cases together with professional judges.

Taiwan Taipei District Court



## 制度目的 Purposes

清明的司法

司法透明

親民的理念

國民主權

多元的思考

國民意識

公正的司法

司法信賴

提升國家競爭力 保障投資環境

JUDICIAL
TRANSPARENCY:
To enhance
the transparency
of the judiciary

JUSTICE:
To honor the ideal of popular sovereignty

OPINIONS:
To account
for the publics
opinions towards the
law

FAIR & JUST:
To promote the public's confidence in the judiciary

To elevate NATIONAL COMPETITIVENESS, and safeguard A SOUND INVESTMENT CLIMATE in TAIWAN

## 制度比較 Comparison

制度名和 Systen		參審(德國) Lay Judges (Germany)	裁判員 Saiban-in Seido	國民法官法 The Citizen Judges Act	陪審(美國) Jury (U.S.)	
參與者名 Name for participa	the	參審員Schöffen/ Ehrenamtliche Richter	裁判員 Saiban-in	國民法官 Citizen Judges	陪審員 Jurors	
参與者來源 間 Source o participar /Tenure	of nts	具有意願或受推薦者/任 期(5年) appointed volunteers/ serving for a period of 5 yrs	rando	一般國民 <i>,</i> ordinary ci omly selected t		
參與者的法 位Courtro layout	om	與法 Lay participants a professional judges			獨立一區,坐在法官一側 Jurors are seated in the jury box, separated from the judge.	

## 制度比較 Comparison

制度名稱 System	參審(德國) Lay Judges (Germany)	裁判員 Saiban-in Seido	國民法官法 The Citizen Judges Act	陪審(美國) Jury (U.S.)	
起訴狀一本主義 Indictment without (previewing) the dossier	無 N/A	有 Yes (i.e. Before trial, there is no access to the case file/dossier for both professional and lay judges in order to prevent forming bias.)			
參與者的職權 Rights & duties of the participants	與法官一起進行事员 Mixed decision- lay judges dec	making bod	律之適用與刑罰之量定 y: professional and r innocence) and	決定有沒有罪:單 獨進行事實之認 定及法律之適用 Independent decision- maker: to find the facts and apply the law as the jury finds them.	

## 制度比較 Comparison

制度名稱 System	參審(德國) Lay Judges (Germany)	裁判員(日本) Saiban-in Seido	國民法官法 The Citizen Judges Act	陪審(美國) Jury (U.S.)
參與者與法官有無雙 向交流 Interaction between professional and lay judges	有 Yes		有(如審前說明、釋疑、終局評議) Yes (e.g. pretrial instruction, interim discussion, final deliberation)	無 No
參與者可否詢問被告 或證人 Right to question the witness?	Yes (The lay parti		stion the witness including or indirectly through the udges.)	原則上不可以 Generally, no
判決有無附理由 Written judgmen with reasons			無 No	
可否就事實認定錯誤 上訴 Appeal based on error of fact ?	區法院:可以 邦法院:不可 District Crts.: Yes State Crts.: No	可以 Yes	可以 Yes	不可以 No

### 什麼是國民法官制度? What does citizen judge stand for?



•國民法官制度,是由一群透過隨機抽選,符合 資格之來自各行各業的6位民眾,與3位專業法 官一起坐在法檯上,共同審判,決定被告是否 有罪、罪名及應受處罰的制度。



- # Randomly selected from qualified citizens from all walks of life
- # 6 citizen judges +3 professional judges
- # Adjudicate cases jointly
- # Return a verdict of guilty or not guilty
- # Determine the sentence to be imposed after the conviction

## 國民法官資格 Qualifications

年滿23歲 over 23 years old

1

中華民國國民

A national of the Republic of China

2

轄區住滿4 個月

Reside in the jurisdiction for at least 4 months

3

## 誰不能擔任國民法官? Exemptions

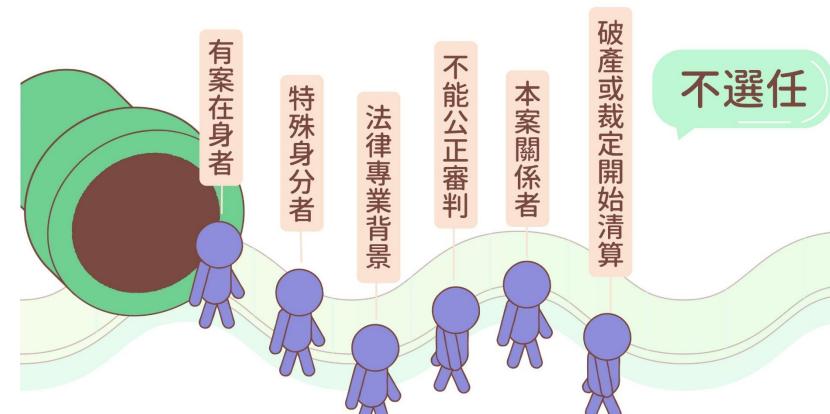




- 具有國民法官法第13條、第14條及第15條之消極資格者不得擔任國民法官,例如:
- Individuals who meet the conditions specified in Article 13 \ 14 \ 15 are exempt from citizen judge service.

### E.g.

- Currently subject to felony charges punishable by imprisonment
- Having been convicted of a felony ( and the civil rights not yet restored)
- Public officers or gov't officials with legal expertise/background.
- Law practitioners
- Having a conflict of interest to the case
- Bankrupt or undergoing a liquidation
- Prone to be partial or biased



## 國民可以拒絕擔任國民法官嗎? Excuses

## •國民法官法規定國民有參與審判的義務

It is a legal duty of a TW citizen to participate in criminal trials as citizen judge.

但有下列所定情況的人,可以拒絕擔任國民法官。
 A person who belongs to one of the following categories may refuse being appointed as a citizen judge

不拒絕 仍可擔任

- 年滿70歲以上者。 Over 70 years of age
- 公立或已立案私立學校之教師。 Public or private school teachers
- 在校學生。 Public or private school students
- 其他困難或不便(例如:有病在身執行職務顯有困難或執行嚴重影響健康、養育親屬之需求而執行職務顯有困難、發生重大災害而有處理之必要、有其他重大事故而執行職務顯有困難・・・)。 Undue hardship or extreme inconvenience
- 曾經參與過,而未滿一定期間。 Already participated as a citizen judge or prospective citizen judge before, within a certain period of time



## 國民法官選任過程

## Selection process



## 國民法官要做那些事情? Authority/Duty

• 國民法官之職權,除本法另有規定外,與法官同。

Unless otherwise provided in the Act, the authority of a citizen judge is the same as that of a Judge.

• 宣誓、全程參與審判、保密。

To take an oath, participate in the entirety of the proceedings, keep case information confidential.

• 訊問:得自行或請求審判長訊問證人等人

Examination: the citizen judges may question the witness including the defendant, either directly or indirectly through the professional judges.

· 參與評議:決定有沒有罪及應判何罪。(2/3多數決) 有罪時決定應判多重。(原則1/2、死刑2/3多數決)

Participate in the final deliberation:

Render a verdict of guilty or not guilty (2/3 majority)

Decide sentencing (1/2 majority; while death penalty requires 2/3 majority)

#### 國民法官制度是用怎樣的方式決定被告是否有罪、 應判何罪與應受之刑罰? The methods of deliberation

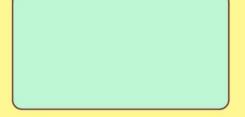


## 評議方式:

一起討論後一起投票 Discuss and vote together















6位國民將與 3位專業法官討論 並進行投票



國民法官與法官1人1票,

· 共9票 · 每個人都要投票

1 person 1 vote, everyone has to vote



罪責:2/3・6票

verdict of guilty(6 votes ↑)

量刑:1/2,5票

Sentencing(5 votes ↑)

(死刑2/3,6票)

death penalty(6 votes ↑)

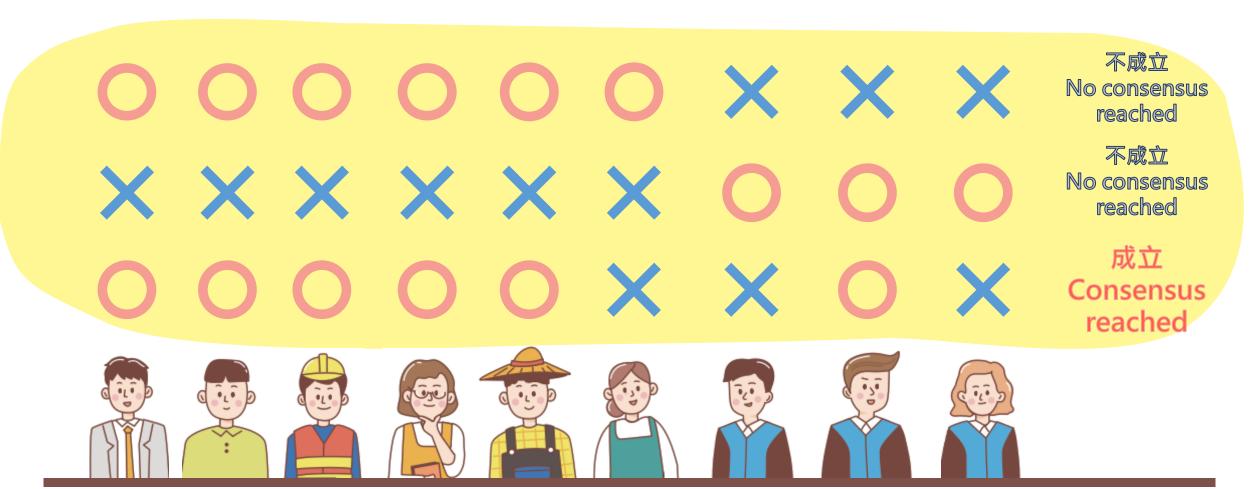
均需包含國民 法官及法官意 見

Including at least one citizen judge and one judge.



## 評議門艦說明 diagram for explaining the majority threshold





評議門檻:科刑部分

### 以包含國民法官及法官意見在內之1/2(死刑2/3)多數決決定 同意票要超過5票,且同時有國民法官及職業法官的同意, 意見歧異無過半意見時,將最不利算入次不利,直達半數。

Under the Citizen Judges Act, the verdict of guilty must be agreed upon by a 2/3 majority of the panel, with at least one citizen and one professional judge in the majority. Then the sentencing decision is reached by a 1/2 majority; while the threshold for death penalty is raised to a 2/3 majority. Once there are divided opinions pertaining to the sentencing decision, the least favorable opinion to the defendant will be added into the second least favorable, until a majority including at least one citizen judge and one judge is reached.





過半, 判處七年

#### 國民法官有那些保護? Protections



支給 日費及旅費 Fees, compensation for serving the duty

給與公假、禁止雇 主給與不利處分 Approved leave of absence from work, unfavorable employment treatment prohibited 個資安全等 必要 保護措施 Personal information of the citizen judges are confidential

禁止接觸、 刺探 Seeking /probing disclosure of information from citizen judges is strictly prohibited

#### 國民法官有那些保護? Protections



審、檢、辯對國民 法官負擔之照料 In order to alleviate the burden felt by citizen judges, professional judges, prosecutors and attorneys will offer proper assistance and care.

評議時法官對國 民法官之照料 The Court will provide sufficient assistance during final deliberation

其他人員之 守密義務 Duty of confidentiality of other personnel 向國民法官 等實行犯罪 之處罰 Committing a crime against citizen judges will be punished

向國民法官 行賄之處罰 Bribing will be punished 擔任國民法官前,要不要參與研習或訓練等? Is there any training required of the citizen judge before participation?

國民法官法設有下列機制,可讓國民法官掌握審判內容並做出判斷,您只須於通知時間出席即可,無須另行參與研習或訓練:

A citizen only needs to appear on a particular date as the court summons, no extra or separate training is required.

審前說明

了解所需資訊

集中爭點、 用人民聽得懂 的語言來審理 **減輕負擔** 

開審陳述

掌握舉證策略

當事人 自主調查證據

釋疑

隨時解惑

## PRETRIAL INSTRUCTIONS:

before litigation proceedings, judges will explain necessary information

#### **REDUCE THE BURDEN:**

focus on issues, use easyto-understand plain language instead of unintelligible legalese

#### **OPENING STATEMENTS:**

outline to the citizen judges what each side contends the evidence will establish

## ADVERSARIAL MODEL:

evidence investigation by petitioner

# INTERIM INSTRUCTIONS & DISCUSSION:

the citizen judge may request explanation from the professional judges anytime

### 國民法官制度何時開始施行呢?

## 2023年1月1日正式施行

Passed by the congress and signed by President Tsai Ingwen in August 12,2020, the Citizen Judges Act will come into effect on January 1, 2023





#### 2023年1月1日起適用於 故意犯罪因而發生死亡結果之罪

Starting from Jan.1st,2023: The Act applies to cases where the defendant intentionally committed an offense that caused death. 毒品及少年 案件除外 Juvenile criminal case and Narcotics cases are exceptions

#### 2026年1月1日起擴大至

十年以上有期徒刑

Starting from Jan.1st,2026:
The scope expands to cases involving an offense punishable with a minimum punishment of imprisonment for not less than ten years



# Thank you



Copyright © 2022 黄國忠、歐陽儀、王靜琳 . All rights reserved.